

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
01460048AA

In Re Application Of: **OKADA et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/525,079	02/18/2005	Agustin, Peter Vincent	30743	2627	5067

Title: **RECORDING METHOD, RECORDING AND REPRODUCING METHOD, REPRODUCING METHOD, RECORDING DEVICE, RECORDING AND REPRODUCING DEVICE, AND REPRODUCING DEVICE FOR OPTICAL INFORMATION RECORDING AND REPRODUCING MEDIUM, AND THE OPTICAL INFORMATION RECORDING AND REPRODUCING MEDIUM**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.
- ☐ Charge the amount of _____
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- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Certificate of Transmission by Facsimile*

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Dated: **April 9, 2008**

Signature

Olga V. Merkoulouva
Reg. No. 48,757
Whitham, Curtis, Christofferson & Cook, PC
11491 Sunset Hills Road, Suite 340
Reston, Virginia 20190
703-787-9400

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
01460048AA

In Re Application Of: **OKADA et al.**

Application No. 10/525,079	Filing Date 02/18/2005	Examiner Agustin, Peter Vincent	Customer No. 30743	Group Art Unit 2627	Confirmation No. 5067
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Invention: **RECORDING METHOD, RECORDING AND REPRODUCING METHOD, REPRODUCING METHOD, RECORDING DEVICE, RECORDING AND REPRODUCING DEVICE, AND REPRODUCING DEVICE FOR OPTICAL INFORMATION RECORDING AND REPRODUCING MEDIUM, AND THE OPTICAL INFORMATION RECORDING AND REPRODUCING MEDIUM**

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

Dated: **April 9, 2008**

Olga V. Merkoulouva
Reg. No. 48,757
Whitham, Curtis, Christofferson & Cook, PC
11491 Sunset Hills Road, Suite 340
Reston, Virginia 20190
703-787-9400

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Typed or Printed Name of Person Mailing Correspondence

CC:

Docket 01460048AA
Serial No.: 10/525,079

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

OKADA et al.

Confirmation No. 5067

Serial No.10/525,079

Group Art Unit: 2627

Filed: February 18, 2005

Examiner: unknown

For: RECORDING METHOD, RECORDING AND REPRODUCING METHOD,
REPRODUCING METHOD, RECORDING DEVICE, RECORDING AND
REPRODUCING DEVICE, AND REPRODUCING DEVICE FOR OPTICAL
INFORMATION RECORDING AND REPRODUCING MEDIUM, AND THE
OPTICAL INFORMATION RECORDING AND REPRODUCING MEDIUM

Commissioner for Patents

PO Box 1450

Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

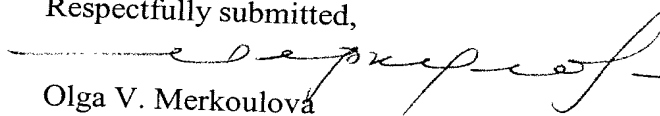
Sir:

Under the provisions of 37 C.F.R. §1.97 through §1.98 and pursuant to applicant's duty of disclosure under 37 C.F.R. §1.56, applicant respectfully brings the following documents, cited in the Office Action issued by the Japanese Patent Office on March 10, 2008, during the prosecution of the corresponding Japanese application, and listed on the attached forms PTO-1449, to the attention of the Examiner in charge of the above-identified application.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

It is respectfully requested that the listed references be formally made of record in the application. Please charge any deficiencies in fees and credit any overpayment of fees to the Attorney's Deposit Account No. 50-2041 (Whitham, Curtis, Christofferson & Cook, P.C.).

Respectfully submitted,



Olga V. Merkoulouva

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INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)

Docket Number (Optional)

01460048AA

Application Number

10/525,079

Applicant(s)

OKADA et al.

Filing Date

February 18, 2005

Group Art Unit

2627

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		JP 2002-304730	18/10/2002	Japan	G11B 7	0045	✓	
		WO 02/29791 A1	11/04/2002	PCT	G11B7	007	✓	

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

		A copy of an Office Action issued by the Japanese Patent Office on March 10, 2008, with English language translation, pages 1 to 3

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.